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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Sheet | 1 | of | 5 |
|-------|---|----|---|

**Complete if Known**

|                        |                                     |
|------------------------|-------------------------------------|
| Application Number     | <del>Not Known Yet</del> 10/810,385 |
| Filing Date            | 03/26/2004                          |
| First Named Inventor   | Ming Lu                             |
| Art Unit               | <del>Not Known Yet</del> 1756       |
| Examiner Name          | <del>Not Known Yet</del> Ruggles    |
| Attorney Docket Number | N1085-00222                         |

## U. S. PATENT DOCUMENTS

| U. S. PATENT DOCUMENTS |                          |  |                                |  |   |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| JSR                    | AA                       | US- 4,340,654                            | 07-20-1982                     | Campi  |   |
|                        | AB                       | US- 4,609,556                            | 09-02-1986                     | Goedert  |   |
|                        | AC                       | US- 4,778,693                            | 10-18-1988                     | Drozdzowicz et al.                                 |   |
|                        | AD                       | US- 4,906,326                            | 03-06-1990                     | Amemiya et al.                                     |   |
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|                        | AN                       | US- 5,429,730                            | 07-04-1995                     | Nakamura et al.                                    |   |
|                        | AO                       | US- 5,440,426                            | 08-08-1995                     | Sandstrom  |   |
|                        | AP                       | US- 5,460,908                            | 10-24-1995                     | Reinberg   |   |
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**FOREIGN PATENT DOCUMENTS**

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**Examiner  
Signature**

Shoemaker

Date  
Considered

8/14/06

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| Filing Date            | 03/26/2004                          |
| First Named Inventor   | Ming Lu et al.                      |
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| Examiner Name          | <del>Not Known Yet</del> Ruggles    |
| Attorney Docket Number | N1085-00222                         |

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|                        |             | Number-Kind Code <sup>2</sup> (if known) |                                 |  |   |
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|                        | AU          | US- 5,623,365                            | 04-22-1997                      | Kuba   |   |
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|                        | BB          | US- 5,948,468                            | 09-07-1999                      | Sweatt et al.                                      |   |
|                        | BC          | US- 5,955,222                            | 09-21-1999                      | Hibbs et al.                                       |   |
|                        | BD          | US- 5,959,325                            | 09-28-1999                      | Adair et al.                                       |   |
|                        | BE          | US- 5,965,303                            | 10-12-1999                      | Huang  |   |
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|                        | BG          | US- 6,037,087                            | 03-14-2000                      | Pierrat et al.                                     |   |
|                        | BH          | US- 6,042,738                            | 03-28-2000                      | Casey, Jr. et al.                                  |   |
|                        | BI          | US- 6,096,459                            | 08-1-2000                       | Yang   |   |
|                        | BJ          | US- 6,103,430                            | 08-15-2000                      | Yang   |   |
|                        | BK          | US- 6,106,980                            | 08-22-2000                      | Pierrat et al.                                     |   |
| JSR                    | BL          | US- 6,114,073                            | 09-05-2000                      | Yang   |   |

**FOREIGN PATENT DOCUMENTS**

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**Examiner  
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John P. Rogers

Date  
Considered

8/14/06

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| Art Unit               | <del>Not Known Yet</del> 1756      |
| Examiner Name          | <del>Not Known Yet</del> Ruggles   |
| Attorney Docket Number | N1085-00222                        |

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| Sheet | 4 | of | 5 |
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## FOREIGN PATENT DOCUMENTS

[illegible]

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|-----------------------|---------------------|
| Examiner<br>Signature | <i>John Ruggles</i> |
|-----------------------|---------------------|

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| First Named Inventor   | Ming Lu                  |
| Art Unit               | Not Known Yet 1756       |
| Examiner Name          | Not Yet Assigned Ruggles |
| Attorney Docket Number | N1085-00222              |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
| JSR                | CH                    | Lalanne, Philippe et al, "The optical properties of artificial media structured at a subwavelength scale", Floating Images Ltd., Hampton, TW12 3JU, United Kingdom (Sept. 2003)   |                |
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| Examiner Signature | <i>John Ruggles</i> | Date Considered | 8/14/06 |
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